

PATENT

40554

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Paul D. Marko et al.

Serial No.: 09/695,228

Filed: October 25, 2000

For: Method and Apparatus for Implementing  
File Transfers to Receivers in a Digital  
Broadcast System



Attention: Box Missing Parts

**COMPLETION OF APPLICATION UNDER 37 C.F.R. § 1.53**

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

In response to the Communication dated December 28, 2000, copy attached, submitted herewith are:

- An executed Declaration and Power of Attorney.
- Filing fee, \$710, surcharge \$130, additional claim fee \$18, and assignment recording fee \$40.
- An assignment of the invention to XM Satellite Radio Inc.
- Information Disclosure Statement/Form PTO-1449 and references
- Please charge Deposit Account No. 18-2220 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.
- A check in the amount of \$898 is attached.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
  - Any additional patent application filing fees under 37 C.F.R. § 1.16.
  - Any additional patent application processing fees under 37 C.F.R. § 1.17.

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Dated: 28 February 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/695,228	10/25/2000	Paul D. Marko	40554

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## FORMALITIES LETTER



\*OC000000005642382\*

Date Mailed: 12/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.
  - \$18 for 1 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

03/01/2001 TGEDAMU1 00000119 09695228

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|---|-----------|-----------|
| • The balance due by applicant is \$ 858. | 01 FC:101 | 710.00 OP |
|   | 02 FC:103 | 18.00 OP  |
|   | 03 FC:105 | 130.00 OP |

*A copy of this notice MUST be returned with the reply.*

*Paul D. Marko*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE